

07-30-01

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07/27/01
1c962 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL

Our Docket No.: **P430.12-0002**Date: **July 27, 2001**First Named Inventor: **Laurence Lee et al.**Title: **APPARATUS AND PROCESS FOR COATING PARTICLES**Express Mail No.: **EL800725580US**

1c971 U.S. PTO
09/17/01
07/27/01

APPLICATION ELEMENTS

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ Fee Calculation Sheet
(Submit an original and a duplicate for fee processing)

2. ☒ Applicant claims small entity status

3. ☒ Specification Total Pages [20]

- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed. Sponsored R&D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claims
- Abstract of the Disclosure

4. ☒ Drawings (35 U.S.C. 113) Total Sheets [2]

5. ☒ Oath or Declaration Total Pages [3]

- a. ☐ Newly Executed (original or copy)
- b. ☒ Copy from a prior application (37 C.F.R. 1.63(d) - for continuation/divisional with Box 18 completed)

[Mark Box 5 below]

I. ☐ DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b)

6. ☒ Application Data Sheet. See 37 CFR 1.76.

- 7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- 8. Nucleotide and/or Amino Acid Sequence Submission (If applicable, all necessary)
 - a. ☐ Computer Readable Copy (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies; or
 - ii. ☐ Paper
 - c. ☐ Statements verifying identify of above copies

ACCOMPANYING APPLICATION PARTS

- 9. ☐ Assignment Papers (cover sheet & document(s))
- 10. ☐ 37 C.F.R. 3.73(b) Submission
- ☐ Power of Attorney
- 11. ☐ English Translation Document (if applicable)
- 12. ☐ Information Disclosure Statement with copies of Citations as necessary
- 13. ☒ Preliminary Amendment Total Pages [8]
- 14. ☒ Return Receipt Postcard (Should be specifically itemized)
- 15. ☐ Certified Copy of Priority document(s) (If foreign priority is claimed)
- 16. ☐ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). (Nonpublication Request)
- 17. ☐ Other

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76.

☐ Continuation

☒ Division

☐ Continuation-in-part (CIP) of prior Application No. 09/461,525

Prior Application Information: Examiner J. Lorengo Group Art Unit 1734

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

ATTY NAME
AND REG NO

**Z. Peter Sawicki;
30,214**

SIGNATURE

Z. Peter Sawicki

ADDRESS

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The PTO did not receive the following
listed item(s) Postcard

FEE TRANSMITTAL

Complete if Known

Application No.

Filing Date

First Named Inventor

Laurence Lee et al.

Group Art Unit

Examiner Name

Atty. Docket Number

P430.12-0002

Total Amount of Payment \$355.00

METHOD OF PAYMENT (Check One)

1. ☒ The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. 1.16 and 1.17 and credit any over payments to Deposit Account No.11-0982. Deposit Account Name: Kinney & Lange, P.A.

2. ☒ Check Enclosed

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description
101	710	201	355	<input checked="" type="checkbox"/> Utility Filing Fee
106	320	206	160	<input type="checkbox"/> Design Filing Fee
108	710	208	355	<input type="checkbox"/> Reissue Filing Fee
114	150	214	75	<input type="checkbox"/> Prov. Filing Fee

Subtotal (1) \$355.00

2. EXTRA CLAIM FEES

	Number Claims	Prior**	Extra	Fee from Below	Fee Paid
Total	12	20	= 0 x	9 = 0	
Indep	3	3	= 0 x	40 = 0	
Multiple Dependent Claims				0 = 0	

**Insert 3 and 20, or number previously paid if greater. Reissue see below

Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple Dependent Claim
109	80	209	40	Reissue Independent Claims Over Original Patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

Subtotal (2) \$0

FEE CALCULATION (Continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description	Fee paid
105	130	205	65	Surcharge - Late filing fee or oath	*
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	*
139	130	139	130	Non-English specification	*
147	2,520	147	2,520	For Filing a Request for Reexamination	*
115	110	215	55	Extension for reply within first month	*
116	390	216	195	Extension for reply within second month	*
117	890	217	445	Extension for reply within third month	*
118	1,390	218	695	Extension for reply within fourth month	*
128	1,890	280	945	Extension for reply within fifth month	*
120	310	220	155	Filing a brief in support of an appeal	*
121	270	221	135	Request for oral hearing	*
148	110	248	55	Terminal Disclaimer Fee	*
140	110	240	55	Petition to revive - unavoidable	*
141	1,240	241	620	Petition to revive - unintentional	*
142	1,270	242	650	Utility/Reissue issue fee (inc. advance copies)	*
143	470	243	250	Design issue fee (inc. advance copies)	*
122	130	122	130	Petitions to the Commissioner	*
123	50	123	50	Petitions related to provisional applications	*
126	180	126	180	Submission of Information Disclosure Statement	*
581	40	581	40	Recording each patent assignment per property (times number of properties)	*
179	710	279	355	Request for Continued Examination (RCE)	*
Other fee (specify) _____					*

Subtotal (3) \$0

Signature

Peter Sawicki

Reg. No.

30,214

Date

Deposit Account No.

11-0982